



At a glance guide to Ogier's regulatory remediation services

Ogier's combined legal and consulting team provides an unrivalled depth of experience in both contentious and non-contentious regulatory matters. With experience spanning all regulated sectors, frequently with multijurisdictional touchpoints.

Ogier are accustomed to drafting global standards and assisting businesses with the design and implementation of bespoke remediation plans that are effective and prevent recurrence.

5 things to know about Ogier's Regulatory teams



Risk management

Experts in developing financial crime risk management programs for AML / CFT / CPF, bribery, sanctions, fraud, slavery and facilitation of tax evasion



Preparation for regulatory inspections

Experienced in preparing companies for scheduled regulatory visits from the GFSC, JFSC, FCA and HMRC



Specialists in risk assessments and remediation

Accomplished in designing, developing and implementing business risk assessments, compliance risk assessments and remediation plans, also providing independent validation of remediation programmes



Undertaking diagnostic reviews

Reviews include compliance effectiveness, board effectiveness, the role of the MLRO, three lines of defence and root cause analysis



Integrated service

Our Regulatory legal teams work closely with Ogier Regulatory Consulting specialists to provide a seamless integrated service which spans multiple jurisdictions

5 ways Ogier can help



Mandate review and response

Assisting with reviewing the mandate of a Skilled Person appointment and conducting any appeal. Input into the drafting of the management response to the Skilled Person report



Managing the Process

Assisting with managing the review process to include issues encountered during the review



Board and senior management training

Helping with the preparation and conduct of the compulsory interview process as well as training key staff on topics including regulatory and director duties



Responses to skilled person reports

Assisting your company to respond and report effectively to the Skilled Person



Remediation drafting and implementation

Drafting, implementing and undertaking sustainable and effective remediation plans

Key contacts

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Ogier is a professional services firm with the knowledge and expertise to handle the most demanding and complex transactions and provide expert, efficient and cost-effective services to all our clients. Regulatory information can be found at [ogier.com](https://www.ogier.com)