



Legal Regulatory Services in BVI and Cayman

Ogier provides specialist regulatory legal advice, using hindsight and foresight to deliver insight on your business needs today and tomorrow. The regulatory environment is increasingly complex and challenging, often involving multiple regulatory bodies across jurisdictions. We work with the best firms and consultants to ensure our clients get the right advice in diverse and cross-border situations.



Jurisdictional reach

Clients benefit from our full regulatory lifecycle offering, uniquely covering laws in the British Virgin Islands, Cayman Islands, Guernsey, Ireland, Jersey and Luxembourg. In addition, our global reach covers our office locations in Beijing, Dubai, Hong Kong, Shanghai, Singapore and Tokyo.



Sectoral coverage

We advise on complex regulatory issues across all financial services sectors including, asset management, investment funds, credit institutions, insurance, reinsurance, payment services, e-money, technology and fintech. Our services also cover multi-disciplinary advice to businesses that traditionally operate outside of financial services, such as those in the media, sports, manufacturing, construction and property development, retail and travel sectors that are required to comply with financial crime legislation, combating slavery, data privacy, licensing and governance requirements. We help you build a compliance programme, manage issues as they arise and investigate or litigate any unresolved breaches.



Authorisation and licencing

- Regulatory authorisations and licence extensions
- Strategic regulatory analysis against clients' objectives
- Acquiring transaction/change of control notification process



Financial crime compliance

- Pragmatic and commercial AML/CFT/sanctions advice
- Advice on suspicious activity reporting
- Assisting clients being investigated for criminal breaches of regulatory regimes
- Registration and AML programmes for Schedule 2 firms



Business crisis management

Our team is on hand to take the pressure off when clients encounter difficult situations with their regulator. On a cross-sectoral basis, the team advise on:

- dawn raid preparation, incident reporting and communication
- regulatory inspection management/investigation support
- skilled person reports and/or strategic regulatory defence advice

At Ogier, we understand that our clients operate in fast paced and highly complex regulatory environments. This is why we have mindfully built a global team of experienced leading regulatory lawyers/litigators and senior industry professionals/ex-regulators to partner with clients in seamlessly managing these regulatory challenges.

Ogier



Compliance programmes, policies and procedures

- Risk-based compliance monitoring programmes/calendars
- Comprehensive policies and procedures
- Risk management frameworks and registers
- gap analysis/benchmarking against regulations and laws



Compliance officer support/outsourcing

We provide support to compliance officers and internal control functions, whether through assisting with board reporting preparation, compliance monitoring, horizon scanning, preparing to implement a new law/regulatory requirement or reviewing an outsourced service provider.



Legal regulatory advice

Our global team provides comprehensive legal regulatory advice to clients, including:

- board governance/formal reviews/fitness and probity
- due diligence reviews - for acquirer/target uncovering deficiencies in systems and controls
- tailored legal and regulatory horizon scanning –including Dear CEO Letters
- market abuse –contentious and non-contentious
- passporting, branch establishment and tied agents
- prudential and capital requirements



MLRO/AML support services (Ireland)

We provide clients with access to leading AML/CFT legal and regulatory experts under our MLRO /AML support service offering. The service is tailored to clients' needs and includes comprehensive support, from training and horizon scanning to regulatory inspection management and suspicious transaction reporting/advice.



Regulatory engagement

The team assists clients across the full lifecycle of regulatory engagement including:

- drafting independent skilled person reports
- assisting clients manage output from regulatory inspections
- preparing clients ahead of regulatory inspections (including mock interviews)
- accompanying clients to enforcement related meetings



Regulatory filing solutions

We assist clients with their regulatory filing requirements. We can be appointed as the Online Reporting (**ONR**) Administrator and look after all necessary filings, liaison with relevant areas of your business, and take the administrative burden with filings off your desk.



Training

We ensure clients receive the most relevant and tailored training to meet your needs, whether through our technological capabilities or in person workshops. Training topics include: AML /CFT, market abuse, fitness and probity, outsourcing obligations, fiduciary duties, regulatory change and dawn raid/regulatory inspection preparation.

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