



At a Glance Guide to Ogier Regulatory Consulting

At Ogier Regulatory Consulting, we have expertise in regulatory requirements, drawing on experience as regulators, advisors, policy makers and practitioner compliance officers.

5 things to know about Ogier Regulatory Consulting services



Advisory: Working alongside Ogier's global team of regulatory law specialists, our team provides regulatory advisory services including gap analysis, needs assessments, risk assessments and systems development



Audit (AML, Compliance): We act as independent auditors of compliance systems, also offering regulatory health checks and effectiveness reviews of any/all of your framework or systems



Training: Our team are expert trainers, for AMLCOs, compliance teams, boards, C-Suite, risk managers, business development, and all employees



Remediation and monitoring: We identify applicable regulatory requirements and assist in the implementation of recommendations for the appropriate course of action. We then facilitate the approval of the work required by those responsible for governance. We can also assist with enhanced due diligence for high risk clients



AML Officer services: From our Caribbean and Asia offices, we can assume AML Officer appointments, for example AMLCO for licensed entities, and assist in building robust and sustainable compliance functions



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Diverse talent and experience: Our team is talented and experienced in supervision, compliance practice, regulatory policy making, education, audit, risk management and legal work, all of which are often simultaneously required in the regulatory compliance field



Global knowledge: Our team is based in the Caribbean and Channel Islands, which enables us to draw on the features of numerous jurisdictions and predict the changes in regimes emanating from international standards



Supporting strategy and risk management: We strive to position our clients in a place of control in the management of their regulatory and other risks



Aligning culture and governance: We are adaptive in our thinking and our approach, responding to each client's individual needs and situation



Focus on effectiveness: We find solutions and answers, resulting in the most effective system possible using the appropriate resources



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